

You could have invented topology

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Among the first vertigo inducing ideas that math students encounter are the slippery concepts of limit and continuous function. All those ϵ 's and δ 's indeed obscure the intuitive and natural ideas behind them. As often occurs with tricky notions, it could be a good idea to guide the mind beginning where the intuition left us.

Suppose we want to determine if a real function $f : \mathbb{R} \rightarrow \mathbb{R}$ is *continuous at* $x_0 \in \mathbb{R}$, that is, if:

$$\text{As } x \text{ approaches to } x_0, \text{ the value } f(x) \text{ approaches to } f(x_0). \quad (1)$$

We say that f is *continuous* if it is continuous at every point x_0 .

We have two problems with this *intuitive* definition, and both relate to formalization. The most obvious one is to formalize the idea that “ a approaches to b ”. Here there is implicitly some kind of distance involved: we mean that the distance between a and b gets small while a moves and b stays still. Yet again, what does “gets small” mean? The second and less obvious issue is what we are trying to determine when we say “as”.

Let's call $d(a, b)$ the distance between a and b , even without explicitly saying what this distance is (and even without knowing what kind of objects a and b are!). So what (1) says is that

$$d(f(x), f(x_0)) \text{ gets small as } d(x, x_0) \text{ gets small.} \quad (2)$$

Of course, whatever $d(a, b)$ is, it should be a nonnegative real number, after all, it represents a distance. One practical way of formalizing that “ $d(x, x_0)$ gets small” is to say that $d(x, x_0)$ is smaller than a positive number ϵ , that is, $0 \leq d(x, x_0) < \epsilon$, and then take ϵ arbitrarily small. With this in mind, in (2) we are just saying that

$$d(f(x), f(x_0)) < \epsilon \text{ as long as } d(x, x_0) \text{ is small enough.} \quad (3)$$

This “small enough” amount depends on all the objects involved: on ϵ itself, the function f and the point x_0 . In other words, given $\epsilon > 0$, there should be another (likely small) positive number $\delta = \delta(\epsilon, x_0, f)$ such that

$$d(f(x), f(x_0)) < \epsilon \text{ if } d(x, x_0) < \delta. \quad (4)$$

Since this property should be satisfied for every $\epsilon > 0$ arbitrary small, we incorporate this into (4) by saying that

$$\text{for all } \epsilon > 0, \text{ there is } \delta > 0 \text{ such that } d(f(x), f(x_0)) < \epsilon \text{ if } d(x, x_0) < \delta. \quad (5)$$

Congratulations, we have (re)invented the formal notions of *limit* and *continuous function*. Notice that the fact that δ depends on (ϵ, x_0, f) , and that ϵ should be arbitrary small, although implicitly, are already logically included in the statement (5). Although these hidden implicit details obscure the concept, at the same time make it easier to verify, cleaner and more beautiful.

Now, a natural distance between two real numbers a and b is given by the absolute value of their difference, i.e., $d(a, b) = |a - b|$. We can now substitute this into (5). However, the important point here is that the explicit form of the distance is irrelevant to understand the concept, as long as it is truly a *distance*. Indeed, up to now we did everything without even saying what d was, and by (5) we conclude that:

Each time we have a notion of distance we can talk about continuous functions.

More importantly, distances can be defined over arbitrary sets, not only in \mathbb{R} . In order to do this, you only need to agree that any distance function d must satisfy at least the following three very natural geometric properties to have the right to be called a distance:

$$d(a, b) = 0 \Leftrightarrow a = b, \quad d(a, b) = d(b, a), \quad d(a, b) + d(b, c) \geq d(a, c), \quad \forall a, b, c. \quad (6)$$

Notice that, by taking $c = a$ in the third property, called *triangle inequality*, we get $d \geq 0$, a fact that we already used above. A set X endowed with a function $d : X \times X \rightarrow \mathbb{R}$ satisfying the three conditions in (6) is called a *metric space*, and is denoted by (X, d) , or simply by X . You can now easily extend the notion of continuity for maps on any metric space, $f : (X, d) \rightarrow (X, d)$, or even between two different metric spaces, $f : (X, d) \rightarrow (X', d')$.

Just for fun, let's go a little further and write (5) in a more set theoretical language. Given a point z in a metric space (X, d) and $r > 0$, we define the *metric ball of radius r centered at z* as

$$B_r(z) := \{x \in X : d(x, z) < r\}. \quad (7)$$

Then, simply by rewriting (5) using (7) for a continuous function $f : (X, d) \rightarrow (X, d)$ we get

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } f(B_\delta(x_0)) \subset B_\epsilon(f(x_0)), \quad (8)$$

or, equivalently,

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } B_\delta(x_0) \subset f^{-1}(B_\epsilon(f(x_0))). \quad (9)$$

Now, observe that any metric ball B has the following key property: for any $x \in B$, there is $\tilde{\epsilon} > 0$ (depending on x) such that $B_{\tilde{\epsilon}}(x) \subset B$. Indeed, if $B = B_\epsilon(z)$ and we take $\tilde{\epsilon} := \epsilon - d(z, x)$, then $\tilde{\epsilon} > 0$ and by the triangle inequality in (6) we have $B_{\tilde{\epsilon}}(x) \subset B_\epsilon(z)$. Any subset B of X with this property is called *open*. We can use this concept to rephrase (9) by saying that, for every open subset B containing $f(x_0)$, there should exist an open subset U containing x_0 such that $U \subset f^{-1}(B)$. Equivalently,

$$\text{for every open subset } B \text{ containing } f(x_0), f^{-1}(B) \text{ is an open subset containing } x_0. \quad (10)$$

Since this should hold for every x_0 in X we can simply drop x_0 and say that

$$f^{-1}(B) \text{ is open if } B \subset X \text{ is open.} \quad (11)$$

In principle we have to be a bit careful here since f does not need surjective and hence $f^{-1}(B)$ could be empty. But obviously from the definition the empty set \emptyset is open, so no problems.

It is clear now from (11) that all we need in order to talk about continuous functions is the collection τ of all open subsets of X . In the case of a metric space, with the three properties of the distance function in (6) you can check that:

- i) Both X and \emptyset belong to τ ;
- ii) An arbitrary union of elements in τ belongs to τ ;
- iii) An intersection of finitely many elements in τ belongs to τ .

A collection τ of subsets of an arbitrary set Z that satisfies these three properties is called a *topology* for Z , and the pair (Z, τ) is called a *topological space*. By the previous observation, every metric space has a natural topology induced by its distance, for which (11) finally becomes

$$\forall B \in \tau, f^{-1}(B) \in \tau. \quad (12)$$

Without any effort you can check that, for the naturally induced topology on a metric space, the two notions (5) and (12) are equivalent. Yet, for (12) no distance function is required, only a topology τ , i.e., a collection of subsets satisfying (i) + (ii) + (iii). This is a much more general and fruitful theory since topological spaces are the most basic structures where we can talk about continuous functions being faithful to our intuitive first idea.

Now compare (1) and (12), and think deeply about the jump.