

# Analysis on Manifolds

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**Bibliography:** [Tu], [Sp], [Le], [Ha], [Hi], [Hr]...

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## §1. Manifolds

We want to extend calculus: object needs to be *locally* a vector space. *Example:*  $\mathbb{S}^n$ .

Topological space, neighborhood, covering.

Countable basis.

Hausdorff ( $T_2$ ).

**REM:** Countable basis and Hausdorff are inherited by subspaces.

Locally Euclidean Topological space: charts and coordinates.

Dimension, notation:  $\dim M^n = n$ .

Topological manifold = Topological space + Locally Euclidean + Countable basis + Hausdorff.

*Examples:*  $\mathbb{R}^n$ , graph, cusp. Not a manifold: '  $\times$  ' ( $\subset \mathbb{R}^2$ ).

Compatible ( $C^\infty$ -)charts, transition functions, atlas ( $C^\infty$ ).

*Example:*  $\mathbb{S}^n$ .

Differentiable structure = maximal atlas.

From now on, for us: Manifold = differentiable manifold = smooth manifold = Topological manifold + maximal ( $C^\infty$ ) atlas.

*Examples:*  $\mathbb{R}^n$ ,  $\mathbb{S}^n$ ,  $U \subset M^n$ ,  $GL(n, \mathbb{R})$ , graphs, products.

## §2. Differentiable functions between manifolds

Definition, composition, diffeomorphism, local diffeomorphism.

*Examples:* Function from/to a product; every chart is a diffeo with its image.

Partial derivatives, Jacobian matrix, Jacobian.

Lie Groups, examples:  $GL(n, \mathbb{R})$ ,  $\mathbb{S}^1$ ,  $\mathbb{S}^3$ .

Right and left translations:  $L_g, R_g$  for  $g \in G$ .

### §3. The moduli space

As you know,  $\mathbb{R}^{n^2}$  and the set of square matrices  $\mathbb{R}^{n \times n}$  are isomorphic as vector spaces. This means that, although they are different *as sets*, they are indistinguishable *as vector spaces*: every inherent property of vector spaces is satisfied by both. In fact, the dimension is the only vector space property that distinguishes between vector spaces (of finite dimension). Now, regard  $M := \mathbb{R}$  as a topological manifold, and  $N := \mathbb{R}$  as a smooth manifold. Consider the map  $\tau : M \rightarrow N$  given by  $\tau(t) = t^3$ . Since  $\tau$  is a homeomorphism, the topologies and therefore the sets of continuous functions on  $M$  and  $N$  agree:  $C^0(M) = C^0(N)$ . On the other hand, since  $\tau$  is a bijection, there is a unique differentiable structure on  $M$  such that  $\tau$  is a diffeomorphism, that is, the one induced by  $\{\tau\}$  as an atlas. Let  $\hat{M}$  be  $M$  with this differentiable structure. Now, although  $\hat{M} = N$  as sets (and as topological manifolds),  $\hat{M} \neq N$  as smooth manifolds, since  $\tau$  is not even an immersion when we regard on  $M = \mathbb{R}$  the standard differentiable structure of  $\mathbb{R}$ . In fact,  $\mathcal{F}(\hat{M}) \neq \mathcal{F}(N)$ .

**However**,  $\tau : \hat{M} \rightarrow N$  is a diffeomorphism by definition (hence  $\mathcal{F}(\hat{M}) = \{g \circ \tau : g \in \mathcal{F}(N)\}$ ), and thus, by the above discussion, *as smooth manifolds* they should be indistinguishable! Huh????

**Answer:** As a general fact in math, when studying a mathematical structure as such, we should distinguish them only *up to the isomorphism of the category*. That is, we should not really study the set  $\mathcal{M}_n$  of differentiable  $n$ -manifolds, but its *moduli space*  $\mathcal{M}_n / \sim$ , where two manifolds are identified if they are diffeomorphic. So we finally obtain  $\hat{M} \sim N$ , as we got  $\mathbb{R}^{n^2} \sim \mathbb{R}^{n \times n}$ .

In fact, every topological manifold of dimension  $n \leq 3$  has a differentiable structure, which is also unique (in the above sense). Yet, in 1956 John Milnor showed that the topological 7-sphere  $\mathbb{S}^7$  has more than one differentiable structure! We now know exactly how many smooth structures exist on  $\mathbb{S}^n$ ... except for  $n = 4$  for which almost nothing is known. See [here](#). (Don't worry, you will understand more of this Wiki article by the end of the course).

## §4. Quotients

*Exercise:* Show that on any topological space quotient there is a unique minimal topological structure, called *quotient topology*, such that the projection  $\pi$  is continuous (i.e., the *final topology of  $\pi$* ). But the quotient of a manifold is not necessarily a manifold...

*Examples:* Möbius strip,  $\mathbb{R}^2/\mathbb{Z}^2$ ,  $[0, 1]/\{0, 1\} = \mathbb{S}^1$ .

Open equivalence relations:  $X$  has countable basis  $\Rightarrow X/\sim$  has, and  $\{(x, y) \in X \times X : x \sim y\}$  is closed  $\Rightarrow X/\sim$  is Hausdorff.

*Example:*  $\mathbb{R}\mathbb{P}^n$ .

A *properly discontinuous action*  $\varphi : G \times M \rightarrow M$  satisfies:

- 1)  $\forall p \in M, \exists U_p \subset M$  such that  $(g \cdot U_p) \cap U_p = \emptyset, \forall g \in G \setminus \{e\}$ ,
- 2)  $\forall p, q \in M$  in different orbits,  $\exists U_p, U_q \subset M$  such that  $(G \cdot U_p) \cap U_q = \emptyset$  (this is necessary to ensure Hausdorff!).

In this situation,  $M/\sim (= M/\varphi)$  is a manifold.

*Exercise:* Consider  $\mathbb{S}^3$  as the unit quaternions, and define the map  $P : \mathbb{S}^3 \rightarrow SO(3)$  by  $P_u x = uxu^{-1}$ , where  $x \in \mathbb{R}^3$  is identified with the imaginary quaternions. Prove that this map is well defined, a homomorphism and a 2-1 surjective local diffeomorphism. Conclude that  $SO(3) \cong \mathbb{S}^3/\{\pm I\}$ .

## §5. The tangent space

Germ of functions:  $\mathcal{F}_p(M) = \{f : U \subset M \rightarrow \mathbb{R} : p \in U\} / \sim$   
 $T_p M$ ,  $x : U_p \subset M^n \rightarrow \mathbb{R}^n$  chart  $\Rightarrow \frac{\partial}{\partial x_i}|_p \in T_p M$ ,  $1 \leq i \leq n$ .

Differential of functions  $\Rightarrow$  chain rule.

$f$  local diffeomorphism  $\Rightarrow f_{*p}$  isomorphism  $\Rightarrow$  dimension is preserved by local diffeomorphisms.

*Converse:* Inverse function Theorem (it *has* to hold!).

Since every chart  $x$  is a diffeomorphism with its image and since

$$x_{*p}(\partial/\partial x_i|_p) = \partial/\partial u_i|_{x(p)} \quad \forall 1 \leq i \leq n,$$

then  $\{\frac{\partial}{\partial x_1}|_p, \dots, \frac{\partial}{\partial x_n}|_p\}$  is a basis of  $T_p M \Rightarrow \dim T_p M = \dim M$ .

Local expression of the differential.

Curves: speed, local expression.

Differential using curves: every vector is the derivative of a curve.

**REM:**  $T_p \mathbb{R}^n = \mathbb{R}^n$ . Therefore, if  $f \in \mathcal{F}_p(U)$ ,  $v \in T_p M$ , then  $f_{*p}(v) = v(f)$ .

Differential of curves, and computation of differentials using curves.

Immersion, submersion, embedding. Rank.

*Examples:* projections and injections in product manifolds.

Identification of the tangent space of a product manifold:

$$T_p M \times T_{p'} M' \cong T_{(p,p')}(M \times M').$$

**Definition 1.** The point  $p \in M$  is a *critical point* of  $f : M \rightarrow N$  if  $f_{*p}$  is not surjective. Otherwise,  $p$  is a *regular point*. The point  $q \in N$  is a *critical value* of  $f$  if it is the image of *some* critical point. Otherwise,  $q$  is a *regular value* of  $f$  (in particular,  $q \in N, q \notin \text{Im}(f) \Rightarrow q$  is a regular value of  $f$ ).

## §6. Submanifolds

Regular submanifolds  $S \subset M$ , adapted charts  $\varphi_S$ .

Codimension. Topology.

*Examples:*  $\sin(1/t) \cup I$ ; points and open sets.

The  $\varphi_S$  give an atlas of  $S$ .

Differentiable functions from and to regular submanifolds.

Level sets:  $f^{-1}(q)$ . Regular level sets.

*Examples:*  $\mathbb{S}^n$ ,  $SL(n, \mathbb{R})$ : use the curve  $t \mapsto \det(tA)$  !!

*Exercise:*  $S \subset M$  is a submanifold  $\iff \exists$  covering  $C$  of  $S$  such that  $S \cap U$  is a submanifold of  $U$ , for all  $U \in C$ .

**Theorem 2.** *If  $q \in \text{Im}(f) \subset N^n$  is a regular value of  $f : M^m \rightarrow N^n$ , then  $f^{-1}(q) \subset M^m$  is a regular submanifold of  $M^m$  with dimension  $m - n$ .*

*Proof:* Let  $p \in M^m$  with  $f(p) = q$  and local charts  $(x, U)$  and  $(y, V)$  in  $p$  and  $q$ . We can assume that  $y(q) = 0$ ,  $f(U) \subset V$  and that  $\text{span}\{f_{*p}(\frac{\partial}{\partial x_i}|_p) : i = 1, \dots, n\} = T_q N$ . Define  $\varphi : U \rightarrow \mathbb{R}^m$  by  $\varphi = (y \circ f, x_{n+1}, \dots, x_m)$ . Then, since  $\varphi_{*p}$  is an isomorphism,  $\exists U' \subset U$  such that  $x' = \varphi|_{U'} : U' \rightarrow \mathbb{R}^m$  is a chart of  $M^m$  in  $p$ . Moreover, since  $y \circ f \circ x'^{-1} = \pi_n$ , we have that  $f^{-1}(q) \cap U' = \{r \in U' : x'_1(r) = \dots = x'_n(r) = 0\}$ . Therefore,  $x'$  is an adapted chart to  $f^{-1}(q)$ . ■

*Exercise:* Adapting the proof of Theorem 2, prove the following: Let  $f : M^m \rightarrow N^n$  a function whose rank is a constant  $k$  in a neighborhood of  $p \in M$ . Then, there are charts in  $p$  and  $f(p)$  such that the expression of  $f$  in those coordinates is given by

$$\pi_k := (x_1, \dots, x_m) \mapsto (x_1, \dots, x_k, 0, \dots, 0) \in \mathbb{R}^n.$$

Conclude from this the normal form of immersions and submersions as particular cases.

*Exercise:* Conclude for the previous exercise that, if  $f$  has constant rank  $= k$  in a neighborhood  $U$  of  $f^{-1}(q) \neq \emptyset$ , then  $U \cap f^{-1}(q)$  is a regular submanifold of  $M^m$  with dimension  $m - k$ .

*Example:*  $f : GL(n, \mathbb{R}) \rightarrow GL(n, \mathbb{R}), f(A) = AA^t$  has constant rank  $n(n+1)/2$  (since  $f \circ L_C = L_C \circ R_{C^t} \circ f \ \forall C$ )  $\Rightarrow O(n)$  is a submanifold of dimension  $n(n-1)/2$  (no needed for constant rank: enough to see that  $I$  is a regular value of  $f$  though the  $\text{Im}(f) \subset \text{Sim}(n, \mathbb{R})$ ).

**REM:** Since “*having maximal rank*” is an open condition, if a function  $f$  is an immersion (or a submersion) at point  $p$ , then it is an immersion (or a submersion) at a neighborhood of  $p$ .

$SL(n, \mathbb{R}), SO(n), O(n), \mathbb{S}^3, U(n), \dots$  are all Lie groups.

Immersed and embedded submanifolds. Figure 8.

Identify:  $p \in S \subset M \Rightarrow T_p S \subset T_p M; S \subset \mathbb{R}^n \Rightarrow T_p S \subset \mathbb{R}^n$ .

*Exercise:* Read (and understand!) the proof of Sard’s Theorem (see here: [aqui](#)).

## §7. Tangent and vector bundles (see [Zi])

Topological and differentiable structure of  $TM$ .

$\pi : TM \rightarrow M$ . Vector fields over  $M$ :

$$\mathcal{X}(M) = \{X : M \rightarrow TM : \pi \circ X = \text{Id}_M\}.$$

Differentiability, module structure of  $\mathcal{X}(M)$ .

Vector fields on  $M \cong$  Derivations on  $M$ :

$$\mathcal{D}(M) = \{X \in \text{End}(\mathcal{F}(M)) : X(fg) = X(f)g + fX(g)\}.$$

Lie bracket:  $\mathcal{X}(M)$  is a *Lie algebra*:  $[\cdot, \cdot]$  is bilinear, skewsymmetric and satisfy Jacobi identity.

Given  $f : M \rightarrow N \Rightarrow f$ -related vector fields:  $\mathcal{X}_f$ . *Ex.:*  $X|_U$ .

$X_i \sim_f Y_i \Rightarrow [X_1, X_2] \sim_f [Y_1, Y_2] \Rightarrow [X|_U, X'|_U] = [X, X']|_U$ .

Fields along  $f$ : local expression.

Integral curves, local flux and Fundamental Theorem ODE.

Vector bundles, local trivializations, transition functions.  $TM$ .

Trivial vector bundle, product vector bundle.

Whitney sum of of vector bundles.

Pull-back of vector bundles:  $f^*(E)$ .

Bundle maps, isomorphism. *Example:*  $f_* : TM \rightarrow TN$ .

Sections. Frames. Differentiability.

*Exercise:* a vector bundle is trivial if and only if exists a frame *global*.

Cotangent bundle:  $T^*M$ ,  $\{dx_i, i = 1, \dots, n\}$ .

General bundles and  $G$ -bundles. Reduction.

## §8. Partitions of unity

Support of functions. Bump functions.

Global extensions of locally defined  $C^\infty$  fields and functions.

Partitions of unity subordinated to coverings.

Existence of partitions of unity for compact manifolds.

*Application:* Existence of Riemannian metrics.

*Application:* Whitney's embedding theorem (proof here).

*Exercise:* Read (and understand!) the proof of the existence of partitions of unity in general (better than in Tu, see here).

## §9. Orientation

Orientability: bundle! *Example:*  $TM$  is orientable *as manifold*.

Moebius strip: paper trick, knot: intrinsic vs extrinsic topology.



## §10. Differential 1-forms

$\Omega^1(M) = \Gamma(T^*M) = \{w : \mathcal{X}(M) \rightarrow \mathcal{F}(M) / w \text{ is } \mathcal{F}(M)\text{-linear}\}$ :

Local operator  $\Rightarrow$  point-wise operator  $\Rightarrow \mathcal{F}(M)$ -linear.

$f \in \mathcal{F}(M) \Rightarrow df \in \Omega^1(M)$ , and  $df \cong f_*$ .

$(x, U)$  chart  $\Rightarrow \{\frac{\partial}{\partial x_1}|_p, \dots, \frac{\partial}{\partial x_n}|_p\}$  is basis of  $T_pM$  whose dual basis is  $\{dx_1|_p, \dots, dx_n|_p\}$  (i.e., basis of  $T_p^*M$ ).

$\{dx_1, \dots, dx_n\}$  are then a frame of  $T^*U$ : local expression.

*Example:* Liouville form on  $T^*M$ :  $\lambda(w) := w \circ \pi_{*w}$ .

Pull-back:  $\varphi \in \text{End}(\mathbb{V}, \mathbb{W}) \Rightarrow \varphi^* \in \text{End}(\mathbb{W}^*, \mathbb{V}^*)$ ;

$f : M \rightarrow N \Rightarrow f^* : \mathcal{F}(N) \rightarrow \mathcal{F}(M)$ ;  $f^* : \Omega^1(N) \rightarrow \Omega^1(M)$ .

Importance of pull-back!

Restriction of 1-forms to a submanifold  $i : S \rightarrow M$ :  $w|_S = i^*w$ .

## §11. Multilinear algebra

Let  $\mathbb{V}$  and  $\mathbb{V}'$   $\mathbb{R}$ -vector spaces.  $\mathbb{V}^* = \text{Hom}(\mathbb{V}, \mathbb{R})$ .

Bi/tri/multi linear functions on vector spaces:  $\mathbb{V} \otimes \mathbb{V}$ .

Tensors and  $k$ -forms on  $\mathbb{V}$ :  $\text{Bil}(\mathbb{V}) = (\mathbb{V} \otimes \mathbb{V})^* = \mathbb{V}^* \otimes \mathbb{V}^*$ .

$\mathbb{V} \otimes \mathbb{V}'$ ,  $\mathbb{V} \wedge \mathbb{V}$ ,  $\wedge^0 \mathbb{V} = \mathbb{V}^{\otimes 0} := \mathbb{R}$ ,

$$\mathbb{V}^{\otimes k} := \mathbb{V} \otimes \dots \otimes \mathbb{V}, \quad \dim \mathbb{V}^{\otimes k} = (\dim \mathbb{V})^k$$

$$\wedge^k \mathbb{V} := \mathbb{V} \wedge \dots \wedge \mathbb{V} \subset \mathbb{V}^{\otimes k}, \quad \dim \wedge^k \mathbb{V} = \binom{\dim \mathbb{V}}{k}$$

Operators  $\otimes$  and  $\wedge$  (bil. and assoc.) over multilinear maps:

$$\sigma \in \wedge^k \mathbb{V}, \quad \omega \in \wedge^s \mathbb{V} \Rightarrow \omega \wedge \sigma := \frac{1}{k!s!} A(\omega \otimes \sigma) \in \wedge^{(k+s)} \mathbb{V}$$

**REM:**  $\omega \wedge \sigma = (-1)^{ks} \sigma \wedge \omega$ .

## §12. Differential $k$ – forms and tensor fields

ALL the multilinear algebra extends to vector bundles:  $\text{Hom}(E, E')$

*Examples:*  $T^*M$ ; Riemannian metric:  $\langle \cdot, \cdot \rangle|_U = \sum g_{ij} dx_i \otimes dx_j$

Tensor (field) and (differential)  $k$ -form:

$$\mathcal{X}^k(M^n), \quad \Omega^k(M^n)$$

are simply the sections of the bundles  $(T^*M)^{\otimes k}$ ,  $\Lambda^k(T^*M)$ .

Tensors =  $\mathcal{F}(M)$ -multilinear maps (bump-functions).

**REM:**  $\Omega^0(M) = \mathcal{X}^0(M) = \mathcal{F}(M)$ ,  $\Omega^1(M) = \mathcal{X}^1(M)$ .

Notation:  $\mathcal{J}_{k,n} := \{(i_1, \dots, i_k) : 1 \leq i_1 < \dots < i_k \leq n\}$ , and for  $I = (i_1, \dots, i_k) \in \mathcal{J}_{k,n}$ , we set  $dx_I := dx_{i_1} \wedge \dots \wedge dx_{i_k}$ .

Local expression:

$$df_1 \wedge \dots \wedge df_n = \det([\partial f_i / \partial x_j]_{1 \leq i, j \leq n}) dx_1 \wedge \dots \wedge dx_n, \quad (1)$$

and, for  $J = (j_1, \dots, j_k) \in \mathcal{J}_{k,n}$  and  $y_1, \dots, y_n \in \mathcal{F}(M)$ ,

$$dy_J = \sum_{I \in \mathcal{J}_{k,n}} \det(A_{JI}) dx_I, \quad \text{onde } A_{JI} = \left[ \frac{\partial y_{j_r}}{\partial x_{i_s}} \right]_{1 \leq r, s \leq k}.$$

Wedge operator  $\wedge : \Omega^k(M) \times \Omega^s(M) \rightarrow \Omega^{k+s}(M)$  bilinear, tensorial

$$\Omega^\bullet(M) := \bigoplus_{k=0}^n \Omega^k(M)$$

is a *graded algebra* with  $\wedge$ .

Pull-back of tensors and forms: linear, tensorial, respects  $\wedge$ :

$$F^* f := f \circ F, \quad \forall f \in \mathcal{F}(M),$$

$$F^*(\omega \wedge \sigma) = F^*\omega \wedge F^*\sigma,$$

$$(F \circ G)^* = G^* \circ F^*.$$

### §13. Orientation and n – forms

*Recall:* if  $B = \{v_1, \dots, v_n\}$ ,  $B' = \{v'_1, \dots, v'_n\}$  are bases of  $\mathbb{V}^n \Rightarrow \beta(v_1, \dots, v_n) = \det C(B, B')\beta(v'_1, \dots, v'_n)$ ,  $\forall \beta \in \Lambda^n(\mathbb{V}^n)$ . We say that  $\beta$  determines an orientation  $[B]$  if  $\beta(v_1, \dots, v_n) > 0$ .

**REM:**  $M^n$  orientable  $\Leftrightarrow$  exists  $\beta \in \mathcal{V}$ , where

$$\mathcal{V} = \{\sigma \in \Omega^n(M^n) : \sigma(p) \neq 0, \forall p \in M^n\}.$$

Orientations of  $M \cong \mathcal{V}/\mathcal{F}_+(M)$ .

Diffeomorphisms that preserve/revert orientation.

### §14. Exterior derivative: VIP!!

**Definition 3.** The *exterior derivative* on  $\Omega^\bullet(M)$  is the linear map  $d : \Omega^\bullet(M) \rightarrow \Omega^\bullet(M)$  that satisfies the following properties:

1.  $d(\Omega^k(M)) \subset \Omega^{k+1}(M)$ ;
2.  $f \in \mathcal{F}(M) = \Omega^0(M) \Rightarrow df(X) = X(f)$ ,  $\forall X \in \mathcal{X}(M)$ ;
3.  $\forall \omega \in \Omega^k(M), \sigma \in \Omega^\bullet(M) \Rightarrow d(\omega \wedge \sigma) = d\omega \wedge \sigma + (-1)^k \omega \wedge d\sigma$ ;
4.  $d^2 = 0$ .

- Props (2) + (3) + bump functions:  $\omega|_U = 0 \Rightarrow d\omega|_U = 0$ .
- Then,  $d\omega|_U = d(\omega|_U)$ , and we can carry local computations.
- Props (3) + (4) + induction  $\Rightarrow d(df_1 \wedge \dots \wedge df_k) = 0$ .
- $d$  exists and is unique: coordinate local expression.

For every  $F : M \rightarrow N$  we have that (see first for  $\Omega^0$ ):

$$\boxed{F^* \circ d = d \circ F^*}$$

i.e.,  $F^* : \Omega^\bullet(N) \rightarrow \Omega^\bullet(M)$  is a morphism of differential graded algebras (i.e., preserves degree and commutes with  $d$ ).

**REM:** This also explains why  $d\omega|_U = d(\omega|_U)$  via  $inc^*$ .

*Exercise:*  $\forall k, \forall \omega \in \Omega^k(M), \forall Y_0, \dots, Y_k \in \mathcal{X}(M)$ , it holds that  $d\omega(Y_0, \dots, Y_k) =$

$$\sum_{i=0}^k (-1)^i Y_i \omega(Y_0, \dots, \hat{Y}_i, \dots, Y_k) + \sum_{0 \leq i < j \leq k} (-1)^{i+j} \omega([Y_i, Y_j], Y_0, \dots, \hat{Y}_i, \dots, \hat{Y}_j, \dots, Y_k).$$

Given  $X \in \mathcal{X}(M)$  we define the *interior multiplication*

$$i_X : \Omega^{k+1}(M) \rightarrow \Omega^k(M)$$

by  $(i_X \omega)(Y_1, \dots, Y_k) = \omega(X, Y_1, \dots, Y_k)$ .

- $i_X \omega$  is tensorial (=  $\mathcal{F}(M)$ -bilinear) on  $X$  and on  $\omega$ .
- $\forall \omega \in \Omega^k(M), \sigma \in \Omega^r(M)$ ,

$$i_X(\omega \wedge \sigma) = (i_X \omega) \wedge \sigma + (-1)^k \omega \wedge (i_X \sigma).$$

- $i_X \circ i_X = 0$ .

## §15. Manifolds with boundary

$C^\infty$  functions and diffeos over arbitrary subsets  $S \subset M^n$ .

**Proposition 4.** *Let  $U \subset M^n$  open,  $S \subset \hat{M}^n$  arbitrary, and  $f : U \rightarrow S$  a diffeomorphism. Then,  $S$  is open on  $\hat{M}^n$ .*

**Corolary 5.** *Let  $U$  and  $V$  open of  $\mathcal{H}^n := \mathbb{R}_+^n = \{x_n \geq 0\}$  and  $f : U \rightarrow V$  a diffeomorphism. Then  $f$  takes interior (resp. boundary) points to interior (resp. of boundary) points.*

Manifold with boundary: definition. (Rough idea of *orbifold*).

Interior points.

The boundary of  $M^n = \partial M^n$  is a manifold of dimension  $n - 1$ .

$\partial M$  versus topological boundary.

If  $p \in \partial M$ :  $\mathcal{F}_p(M)$ ,  $T_p^*M$ ,  $v \in T_pM$  (yet, it could be no curve with  $\alpha'(0) = v$ ),  $TM$ , orientation: SAME as before.

If  $p \in \partial M$ :  $v \in T_pM$  *interior* and *exterior*.

**REM:** In any manifold with boundary  $M$  there exists an *exterior* vector field  $X$  along  $\partial M$  (i.e., considering the inclusion  $inc : \partial M \rightarrow M$  we have that  $X \in \mathcal{X}_{inc}$ ). Then,  $\partial M$  is orientable if  $M$  is, with the induced orientation  $inc^*i_X\omega$ .

*Examples:*  $\mathcal{H}^n, [a, b]; B^n, \overline{B}^n$ .

*Example:* If  $j = inc : \mathbb{S}^{n-1} = \partial \overline{B}^n \rightarrow \overline{B}^n$ ,  $Z(p) = p \in \mathcal{X}_{inc}$  is exterior  $\Rightarrow$  orientation  $\sigma$  in  $\mathbb{S}^{n-1} \subset \overline{B}^n$  via  $\overline{B}^n \subset \mathbb{R}^n$  and  $dv_{\mathbb{R}^n}$ :

$$\sigma = j^*(i_Z dv_{\mathbb{R}^n}) = \sum_i (-1)^{i-1} x_i dx_1 \wedge \cdots \wedge \widehat{dx}_i \wedge \cdots \wedge dx_n. \quad (2)$$

## §16. Integration (Riemann)

Forms with compact support =  $\Omega_c^\bullet(M)$ : preserved by pull-backs.

If  $\omega \in \Omega_c^n(U)$ ,  $U \subset \mathcal{H}^n$ , we have  $\omega = f dx_1 \wedge \cdots \wedge dx_n$  and define

$$\int_U \omega = \int_{\mathcal{H}^n} \omega := \int_{\mathcal{H}^n} f dx.$$

Same for  $w$   $n$ -form continuous on  $U$ ,  $A \subset U$  bounded with measure zero boundary (e.g.,  $A = \text{cube}$ )  $\Rightarrow \int_A \omega$ .

Given a diffeo  $\xi : U \subset \mathcal{H}^n \rightarrow V \subset \mathcal{H}^n$  with  $\epsilon = 1$  (resp.  $-1$ ) if  $\xi$  preserves (resp. reverses) orientation, we get from (1) and the

Change of Variables Theorem (CVT) that

$$\begin{aligned}
 \int_U \xi^* \omega &= \int_U \xi^*(f dx_1 \wedge \cdots \wedge dx_n) \\
 &= \int_U f \circ \xi (\xi^* dx_1 \wedge \cdots \wedge \xi^* dx_n) \\
 &= \int_U f \circ \xi (d\xi_1 \wedge \cdots \wedge d\xi_n) \\
 &= \int_U f \circ \xi \det(J_\xi) dx_1 \wedge \cdots \wedge dx_n = \epsilon \int_V \omega.
 \end{aligned}$$

**Def.:** If  $M^n$  is oriented,  $\varphi : U \subset M^n \rightarrow \mathcal{H}^n$  chart oriented, and  $w \in \Omega_c^n(U)$ , we define  $\int_U \omega = \int_M \omega := \int_{\varphi(U)} (\varphi^{-1})^* w$ . Linear!

**Def.:**  $M^n$  oriented,  $w \in \Omega_c^n(M^n) \Rightarrow \int_M \omega := \sum_\alpha \int_M \rho_\alpha w$ .

CVT:  $\int_N \varphi^* \omega = \int_M \omega$ ,  $\forall \varphi \in Dif_+(N, M)$ ,  $\forall w \in \Omega_c^n(M^n)$ .

$M^n$  oriented  $\Rightarrow$  linear operator:  $\omega \in \Omega_c^n(M^n) \mapsto \int_M \omega$ .

The  $\dim M = 0$  case:  $\int_M f := \sum_i f(p_i) - \sum_j f(q_j)$ .

$$\int_{-M} \omega = - \int_M \omega.$$

## §17. Stokes Theorem 1.0

...which was not proved by Stokes, but by Klein (dim 2) and E.Cartan in general... :o/

**Theorem 6 (Stokes v.1.0).**  $M^n$  oriented,  $w \in \Omega_c^{n-1}(M^n) \Rightarrow$

$$\int_M d\omega = \int_{\partial M} \omega.$$

*Underlying idea:* Sum integrals over small cubes, since the interior faces cancel down due to orientation (dim 1 and 2 pictures).

**Cor.:**  $M^n$  compact oriented  $\Rightarrow \int_M d\omega = 0$ ,  $\forall \omega \in \Omega_c^{n-1}(M)$ .

*Exercise:* The classical calculus theorems all follow from Stokes.

**OBS (!!):**  $i : N^k \subset M$ ,  $N^k$  compact oriented regular submanifold, and  $\omega \in \Omega^k(M)$  (or  $N^k$  oriented and  $\omega \in \Omega_c^k(M)$ )  
 $\Rightarrow \int_N \omega (= \int_N i^* \omega)$ .

If  $\rho \in \text{Diff}_+(N^k) \Rightarrow \int_N \rho^* \omega = \int_N \omega \Rightarrow$  we only care about the image  $i(N)$ , nor really on the map  $i$ .

Notation:  $\int_i w := \int_N i^* \omega$ .

It makes sense for any differentiable function  $i: \int_i w$  (even for  $M$  not orientable!), and  $\int_{i \circ \rho} w = \int_i w$  (we only care about  $i(N)$ ).

*Curiosity: Palais' Theorem. Let  $D : \Omega^k \rightarrow \Omega^r$  such that  $Df^* = f^*D, \forall f : M \rightarrow N$ . Then, either  $k = l$  and  $D = cId$ , or  $r = k + 1$  and  $D = cd$ , or  $k = \dim M, r = 0$ , and  $D = c \int_M$ .*

## §18. Stokes Theorem 2.0 (Spivak vol.1 chap.8)

$k$ -cube:  $I^k: [0, 1]^k \hookrightarrow \mathbb{R}^k$ . *Singular  $k$ -cube:*  $c: [0, 1]^k \rightarrow M$ .

$c$  singular  $k$ -cube,  $\omega \in \Omega^k(M) \Rightarrow \int_c \omega := \int_{[0,1]^k} c^* \omega$ .

$C_k(M) = C_k(M; G) := k$ -chains of  $M =$  free  $G$ -module over singular cubes, for  $G = \mathbb{R}$  (or  $\mathbb{Z}$  or  $\mathbb{Q}$  or  $\mathbb{Z}_2$  or...).

$\int : C_k(M) \times \Omega^k(M) \rightarrow \mathbb{R}$  is defined  $\forall M$  and is bilinear!

$I_{i,\alpha}^n(x_1, \dots, x_{n-1}) := I^n(x_1, \dots, x_{i-1}, \alpha, x_i, \dots, x_{n-1}), \alpha = 0, 1$ .

$c_{i,\alpha} := c \circ I_{i,\alpha}^n, \partial c = \sum_{i=1}^n \sum_{\alpha=0}^1 (-1)^{i+\alpha} c_{i,\alpha}$  (dim 2 picture).

Extend linearly  $\partial: C_k(M) \rightarrow C_{k-1}(M): \partial c =$  boundary of  $c$ .

**Defs:**  $c \in C_k(M)$  is *closed* if  $\partial c = 0$ ;  $c$  is *um boundary* if  $c = \partial \tilde{c}$ .

*Examples:*  $c_1, c_2$  1-cubes.  $c_1$  closed  $\Leftrightarrow c_1(0) = c_1(1)$ ;  $c = c_1 - c_2$  is closed  $\Leftrightarrow c_1(0) = c_2(0)$  and  $c_1(1) = c_2(1)$ , or  $c_1$  and  $c_2$  closed.

Since  $(I_{i,\alpha}^n)_{j,\beta} = (I_{j+1,\beta}^n)_{i,\alpha} \forall 1 \leq i \leq j \leq n-1 \Rightarrow \boxed{\partial^2 = 0}$ .

What we proved in Theorem 6 is, in fact, the following:

**Theorem 7 (Stokes v.2.0).** For every differentiable mani-

fold  $M$ ,  $w \in \Omega^{k-1}(M)$ , and  $c \in C_k(M)$ , we have that

$$\int_c d\omega = \int_{\partial c} \omega.$$

In other words,  $\partial$  (over  $\mathbb{R}$ ) is the dual (with respect to  $\int$ ) of  $d$ . Everything works exactly the same considering  $k$ -simplex instead of  $k$ -cubes.

***DO ALL EXERCISES IN CHAP. 8 AND 11 OF SPIVAK!!***



## §19. De Rham cohomology (Spivak, vol.1 chap.8)

If  $w \in \Omega^1(\mathbb{R}^n)$ , when  $w = df$  for certain  $f \in \mathcal{F}(\mathbb{R}^n)$ ? Necessary condition:  $dw = 0$ . Is it enough?? YES: taking singular 1-cube  $c$ ,  $c(0) = 0, c(1) = p$ , define  $f(p) = \int_c w$ . It is well defined by Stokes(!), since every closed curve on  $\mathbb{R}^n$  is a boundary. In fact,  $c_s(t) = sc_1(t) + (1-s)c_0(t)$ . That is: *solutions of certain PDEs are related to the topology of the space.*

Poincaré's Lemma (seen later):  $Z^k(\mathbb{R}^n) = B^k(\mathbb{R}^n)$ .

That is, locally we can always solve the problem, but globally... *depends on the topology!*

System of linear PDEs: integrability condition.

Obstructions to solve PDEs, or globalize certain local objects.

$$Z^k(M) := \text{Ker } d_k = \text{closed forms (local condition)}$$

$$B^k(M) := \text{Im } d_{k-1} = \text{exact forms (global condition!)}$$

**Definition:** The  $k$ -th *de Rham cohomology* of the manifold  $M$  (with or without boundary) is given by

$$H^k(M) := Z^k(M) / B^k(M).$$

$H^0(M) = \mathbb{R}^r$ , where  $r$  is the number of connected comp. of  $M$ .

$H^n(M^n) \neq 0$  if  $M^n$  is a compact orientable manifold (Stokes).

$H^{n+k}(M^n) = 0, \forall k \geq 1$ .

*Ex:*  $\dim H^k(T^n) \geq \binom{n}{k}$ : if  $\omega_I := [d\theta_{i_1} \wedge \cdots \wedge d\theta_{i_k}] \Rightarrow \int_{T_J} \omega_I = \delta_J^I$ .

Pull-back:  $F : M \rightarrow N \Rightarrow F^*(= F^\#) : H^k(N) \rightarrow H^k(M)$ .

$(F \circ G)^* = G^* \circ F^* \Rightarrow H^k(M)$  is an invariant of the differentiable structure (!), and invariant under diffeomorphisms.

$\wedge : H^k(M) \times H^r(M) \rightarrow H^{k+r}(M), [\omega] \wedge [\sigma] := [\omega \wedge \sigma]$  (well!).

$H^\bullet(M) := \bigoplus_{k \in \mathbb{Z}} H^k(M)$  is the *de Rham cohomology ring* of  $M$ .

In fact,  $H^\bullet(M)$  is a *anticommutative graded algebra*, and  $F^*$  is a homomorphism of graded algebras.

## §20. Homotopy invariance (Spivak, vol.1 chap.8)

**Definition 8.** Given two manifolds (with or without boundary)  $M$  and  $N$ , we say that  $f, g : M \rightarrow N$  are (*differentiably*) *homotopic* if there is a smooth function  $T : M \times [0, 1] \rightarrow N$  such that  $T_0 := T \circ i_0 = f$ ,  $T_1 := T \circ i_1 = g$ , where  $i_s(p) = (p, s)$ .

This is an equivalence relation on  $\mathcal{F}(M, N)$ :  $f \sim g$ .

*Example:*  $M$  is contractible  $\Leftrightarrow Id_M \sim cte$ .

**Proposition 9.** *If  $M$  is a manifold with or without boundary, for all  $k$  there is a linear map  $\tau : \Omega^k(M \times [0, 1]) \rightarrow \Omega^{k-1}(M)$  (called *cochain homotopy*) such that*

$$i_1^* \omega - i_0^* \omega = d\tau\omega + \tau d\omega, \quad \forall \omega \in \Omega^k(M \times [0, 1]).$$

*Proof:* Define  $\tau(\omega) = \int_0^1 i_s^*(i_{\partial/\partial t}(\omega)) ds$ . It is enough to check two cases (identify via  $\pi_1^*$  and  $\pi_2^*$ ). If  $\omega = f dx_I$ ,  $d\omega = \dots + (\partial f / \partial t) dt \wedge dx_I$ , and therefore it is just the Fundamental Theorem of Calculus. If  $\omega = f dt \wedge dx_I$ , then  $i_1^* \omega = i_0^* \omega = 0$ , and an easy computation gives  $\Rightarrow d\tau\omega + \tau d\omega = 0$ . ■

More than a differential invariant  $H^\bullet(M)$  is a homotopic invariant:

**Theorem 10 (!!!!!!).**  $f \sim g \Rightarrow f^* = g^*$  (in  $H^\bullet(M)$ ).

*Proof:* Immediate from Proposition 9. (The same holds true for the singular homology: see Theorem 2.10 on [Ha] and its proof). ■

**Corolary 11.**  $M$  contractible  $\Rightarrow H^k(M) = 0, \forall k \geq 1$ .

**Corolary 12.** (*Poincaré's Lemma*)  $Z^k(\mathbb{R}^n) = B^k(\mathbb{R}^n) \forall k \geq 1$ .

**Corolary 13.**  $M^n$  compact orient.  $\Rightarrow M^n$  not contractible.

**Definition 14.**  $f : M \rightarrow N$  is a *homotopic equivalence* if there exists  $g : N \rightarrow M$  such that  $g \circ f \sim Id_M$  and  $f \circ g \sim Id_N$ . In this case, we say that  $M$  and  $N$  are *homotopically equivalent*, or that  $M$  and  $N$  *have the same homotopy type*:  $M \sim N$ .

*Example:*  $M$  contractible  $\iff M \sim \text{point}$ .

*Exercise.* The “letters”  $X$  and  $Y$  as subsets of  $\mathbb{R}^2$  are homotopically equivalent but not homomorphic.

REM: *Whitehead's Theorem* states that, if a continuous function (between CW complexes) induces isomorphisms between all homotopy groups, then  $f$  is a homotopy equivalence. Yet, it is not enough to assume that all homotopy groups are isomorphic:  $\mathbb{R}P^2 \times S^3 \not\sim S^2 \times \mathbb{R}P^3$  since they are covered by  $S^2 \times S^3$  and  $\pi_1 = \mathbb{Z}_2$ . By Hurewicz Theorem, this implies that a continuous function  $f$  between simply connected CW complexes that induces isomorphisms between the singular homologies with integer coefficients is also a homotopy equivalence.

**Corolary 15 (!!!!!).** *Let  $f : M \rightarrow N$  be a homotopy equivalence between manifolds with or without boundary. Then  $f^* : H^\bullet(M) \rightarrow H^\bullet(N)$  is an isomorphism.*

**Corolary 16.** *If  $M$  has boundary, then  $H^\bullet(M) = H^\bullet(M^\circ)$ .*

**Definition 17.** A *retract* of  $M$  to a submanifold  $S \subset M$  is a function  $f : M \rightarrow S$  such that  $f|_S (= f \circ inc_S) = Id_S$ .  $S$  is called a *retract* of  $M$  ( $\Rightarrow f^*$  is injective and  $inc_S^*$  is surjective).

**Theorem 18** (Brouwer's fixed point Theorem). *If  $B \subset \mathbb{R}^n$  is a closed ball (or a compact convex subset), then every continuous function  $f : B \rightarrow B$  has fixed points.*

*Exercise.* If  $M$  is compact and orientable, then there is no retraction  $f : M \rightarrow \partial M$ .

**Definition 19.** A *deformation retract* from  $M$  to  $S \subset M$  is a function  $T : M \times [0, 1] \rightarrow M$  such that  $T_0 = Id_M$ ,  $\text{Im}(T_1) \subseteq S$ , and  $T_1|_S = Id_S$  (i.e., retract  $T_1 \sim T_0 = Id_M \Rightarrow T_1^*$  and  $inc_S^*$  are isomorphisms).

In other words, a deformation retract is a homotopy between a retract from  $M$  to  $S$  and the identity of  $M$ . In particular, if  $S$  is a deformation retract of  $M$ , then  $M \sim S$ .

**Corolary 20.** *If  $E$  is a vector bundle over  $M$ , then  $H^\bullet(E) = H^\bullet(M)$ .*

*Application: tubular neighborhoods.* Given an embedded compact submanifold  $N \subset M$ , for each  $0 < \epsilon < \epsilon_0$  there exists an open subset  $N \subset V_\epsilon \subset M$ , such that  $N$  is a deformation retract of  $V_\epsilon$ ,  $V_\epsilon \subset V_{\epsilon'}$  if  $\epsilon < \epsilon'$ , and  $\bigcap_\epsilon V_\epsilon = N$ . (Proof: use Whitney's Theorem for  $M$ , or Riemannian metrics; see Theorem 5.2 on [Hr]). In particular,  $H^\bullet(V_\epsilon) = H^\bullet(N)$ .

**Definition 21.** A *strong deformation retract* is a deformation retract  $T$  as in Definition 19 such that  $T_t|_S = Id_S$ ,  $\forall t \in [0, 1]$  (e.g,  $H$  below).

*Example:*  $\mathbb{R}^n \setminus \{0\} \sim \mathbb{S}^{n-1} \not\sim \mathbb{R}^n$ :  $H(x, t) = ((1 - t) + t/\|x\|)x$ .

*Example:* Möbius strip  $F \sim \mathbb{S}^1$  ( $\Rightarrow H^2(F) = 0$ ).

## §21. Integrating cohomology: degree (Spivak, vol.1 chap.8)

For noncompact  $M$  (without boundary) we also work with

$$H_c^k(M) := Z_c^k(M)/B_c^k(M), \quad k \in \mathbb{Z}.$$

**REM:** If  $M^n$  is orientable, then  $\int : H_c^n(M^n) \rightarrow \mathbb{R}$  is of course a well defined linear map. And more:

**Theorem 22.** *If  $M^n$  is a connected orientable manifold, then  $\int : H_c^n(M^n) \rightarrow \mathbb{R}$  is an isomorphism ( $\Rightarrow \dim H_c^n(M^n) = 1$ ).*

*Proof:* We only need to check that, if  $\int_M \omega = 0$ , then  $\omega = d\beta$  with  $\beta$  with compact support.

(a) *It is true for  $M = \mathbb{R}$ .* Set  $g(t) = \int_{-\infty}^t \omega \Rightarrow \omega = dg$ .

(b) *If it holds for  $\mathbb{S}^{n-1}$ , then it holds for  $\mathbb{R}^n$ .* If  $\omega \in \Omega_c^n(\mathbb{R}^n) \subset \Omega^n(\mathbb{R}^n)$ , since  $\mathbb{R}^n$  is contractible we know that  $\omega = d\eta$  for some  $\eta \in \Omega^{n-1}(\mathbb{R}^n)$  (but  $\eta$  not necessarily with compact support!). Now, since  $\omega$  has compact support (say, inside the ball  $B_1^n$ ) and  $\int_{\mathbb{R}^n} \omega = 0$ , we have  $\int_{\mathbb{S}^{n-1}} j^* \eta' = \int_{\mathbb{S}^{n-1}} i^* \eta = \int_{\mathbb{R}^n} \omega = 0$  by Stokes, where  $i : \mathbb{S}^{n-1} \rightarrow \mathbb{R}^n$  and  $j : \mathbb{S}^{n-1} \rightarrow \mathbb{R}^n \setminus \{0\}$  are the inclusions, and  $\eta' = \eta|_{\mathbb{R}^n \setminus \{0\}}$ . Then, by hypothesis,  $j^*[\eta'] = 0$ . But  $j^*$  is an isomorphism since  $\mathbb{S}^{n-1}$  is deformation retract of  $\mathbb{R}^n \setminus \{0\}$ . We conclude that  $\eta' = d\lambda$  for some  $\lambda \in \Omega^{n-2}(\mathbb{R}^n \setminus \{0\})$ . In particular, if  $h : \mathbb{R}^n \rightarrow \mathbb{R}$  satisfies  $h \equiv 1$  outside of  $B_1^n$  and  $h \equiv 0$  inside  $B_\epsilon^n$ , then  $\beta = \eta - d(h\lambda) \in \Omega^{n-1}(\mathbb{R}^n)$  has compact support on  $B_1^n$ , and  $\omega = d\beta$ .

Another, more explicit proof of (b): If  $\omega = f dv_{\mathbb{R}^n} \in \Omega^n(\mathbb{R}^n)$  has compact sup. on  $B_1^n$ , then define  $g : \mathbb{R}^n \rightarrow \mathbb{R}$  by  $g(p) = \int_0^1 t^{n-1} f(tp) dt$ ,  $r : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{S}^{n-1}$ ,  $r(x) = x/\|x\|$  (retract),  $i : \mathbb{S}^{n-1} \rightarrow \mathbb{R}^n$  the inclusion and  $\sigma = i_X^* dv_{\mathbb{R}^n} \in \Omega^{n-1}(\mathbb{R}^n)$  as in (2).

- Computation  $\Rightarrow w = d(g\sigma)$  (yet  $g\sigma$  not necessarily with compact support!)
- $\int_{\mathbb{S}^{n-1}} (g \circ i)^* \sigma = \int_{B_1^n} f dv_{\mathbb{R}^n} = \int_{\mathbb{R}^n} \omega = 0 \Rightarrow i^*(g\sigma) = d\lambda$ , by hypothesis.
- $g\sigma = r^*(i^*(g\sigma)) = d(r^*\lambda)$  outside  $B_1^n$ , since  $(i \circ r)_{*p} = \|p\|^{-1} \Pi_{p^\perp}$ ,  $(i \circ r)^* \sigma(p) = \|p\|^{-n} \sigma(p)$ , and  $g(p) = \|p\|^{-n} (g \circ i \circ r)(p)$ , if  $\|p\| \geq 1$ .
- If  $\beta := g\sigma - d(hr^*\lambda) \Rightarrow w = d(g\sigma) = d\beta$ , with  $\text{sup}(\beta) \subseteq B_1^n$ .

(c) (!!!) *If it holds for  $\mathbb{R}^n$  it holds for every  $M^n$ .* Fix any  $w_0 \in \Omega_c^n(U_0)$  with  $U_0 \subset M^n$  diffeo to  $\mathbb{R}^n$ , with  $\int_M w_0 \neq 0$ . Let  $w \in \Omega_c^n(M^n)$ . It is enough to see that there is  $a \in \mathbb{R}$  and

$\eta \in \Omega_c^{n-1}(M^n)$  such that  $w = aw_0 + d\eta$ . Taking partitions of unity we can assume that  $\text{supp}(w) \subset U$ ,  $U$  diffeo a  $\mathbb{R}^n$ . Since  $M^n$  is connected, there exists a sequence  $\{U_i, 1 \leq i \leq m\}$ ,  $U_i$  diffeo a  $\mathbb{R}^n$ , with  $U_m = U$  and  $U_i \cap U_{i+1} \neq \emptyset$ . Let  $w_i$  with compact support,  $\text{supp}(w_i) \subset U_i \cap U_{i+1}$ , and such that  $\int_M w_i \neq 0$ . Since it holds for  $\mathbb{R}^n \cong U_{i+1}$ ,  $w_{i+1} - c_{i+1}w_i = d\eta_{i+1}$ . Done! :) ■

**Theorem 23.**  $M^n$  connected not orientable  $\Rightarrow H_c^n(M^n) = 0$ .

*Proof:* Use the idea in (c) above. ■

*Exercise.* Prove Theorem 23 using the orientable double cover.

**Theorem 24.**  $M^n$  connected non compact, with or without boundary  $\Rightarrow H^n(M^n) = 0$ .

*Proof:* Use the idea in (c). Suppose first  $M^n$  orientable and use exhaustion by compact sets (or by Theorem 51). For non orientable  $M^n$ , prove that  $\pi^* : H^n(M^n) \rightarrow H^n(\tilde{M}^n)$  is injective. ■

By Theorem 22, for any proper differentiable function between connected orientable manifolds,  $f : M^n \rightarrow N^n$  (same dimension!), there exists  $\text{deg}(f) \in \mathbb{R}$ , the *degree of f*, such that

$$\int_M f^*\omega = \text{deg}(f) \int_N \omega, \quad \forall \omega \in \Omega_c^n(N^n).$$

**Theorem 25.** Under the above hypothesis, if  $q \in N^n$  is a regular value of  $f$  and  $f(p) = q$ , set  $\text{sgn}_f(p) = \pm 1$ , according to  $f_{*p}$  preserving or reversing orientation. Then,

$$\text{deg}(f) = \sum_{p \in f^{-1}(q)} \text{sgn}_f(p).$$

In particular,  $\text{deg}(f) \in \mathbb{Z}$ , and  $\text{deg}(f) = 0$  for  $f$  not surjective.

*Proof:* If  $\{p_1, \dots, p_k\} = f^{-1}(q)$ , choose small disjoint neighborhoods  $U_i$  of  $p_i$  and  $V$  of  $q$  such that  $f : U_i \rightarrow V$  is diffeo. Let  $\omega$  with compact support on  $V$  such that  $\int_N \omega \neq 0$ . Then,  $\int_{U_i} f^* \omega = \text{sgn}_f(p_i) \int_V \omega$ . So, the result is immediate... if it only holds that  $\text{supp}(f^* \omega) \subset U_1 \cup \dots \cup U_k$ . But we fix it like this:

Let  $K \subset V$  compact such that  $q \in K^\circ$ . Then,  $K' = f^{-1}(K) \setminus (U_1 \cup \dots \cup U_k)$  is compact, and thus  $f(K')$  is closed not containing  $q$ . Now just change  $V$  by any  $V' \subset K^\circ \setminus f(K') \subset K$ , with  $q \in V'$ , that automatically satisfies  $f^{-1}(V') \subset U_1 \cup \dots \cup U_k$ . ■

**REM:** The set of regular values is open and dense, and the sum in Theorem 25 is finite.

**REM:**  $H_c^n(M^n) \not\subset H^n(M^n)$  in general:  $H_c^n(\mathbb{R}^n) = \mathbb{R}$ , yet  $H^n(\mathbb{R}^n) = 0$ ,  $n \geq 1$ . In fact,  $f \sim g \not\Rightarrow f^* = g^*$  on  $H_c^\bullet$ . But:

**Corolary 26.**  $f, g : M^n \rightarrow N^n$  as above,  $f \sim g$  (properly homotopic)  $\Rightarrow \text{deg}(f) = \text{deg}(g)$ .

*Example:*  $\text{deg}(-\text{Id}_{\mathbb{S}^n}) = (-1)^{n+1}$ .

**Corolary 27.** *Hairy even dimensional dog Theorem.*

**REM:** We can always comb odd dimensional dogs!

**Corolary 28.** *Fundamental Theorem of Algebra.*

*Proof:* Extend  $g(z) = z^k + a_1 z^{k-1} + \dots + a_k$  to  $\mathbb{C} \cup \infty = \mathbb{S}^2$  via  $g(\infty) = \infty$ . It is smooth since  $1/g(1/z) = \frac{z^k}{1+a_1 z + \dots + a_k z^k}$ , and it is homotopic to  $h(z) = z^k$  via  $g_t(z) = z^k + t(a_1 z^{k-1} + \dots + a_k)$ .

Let  $w = f(r)dx \wedge dy = f(r)rdr \wedge d\theta$  with  $f$  with compact support. Then,  $\int_{\mathbb{R}^2} h^*w = k \int_{\mathbb{R}^2} w \Rightarrow \text{deg}(g) = \text{deg}(h) = k > 0 \Rightarrow g$  is surjective.

Another proof:  $h$  is a local diffeo that preserves orientation on  $\mathbb{C} \setminus \{0\}$ , and  $\forall u \in \mathbb{C} \setminus \{0\}$ ,  $h^{-1}(u)$  has  $k$  points  $\Rightarrow \text{deg}(h) = k$ . ■

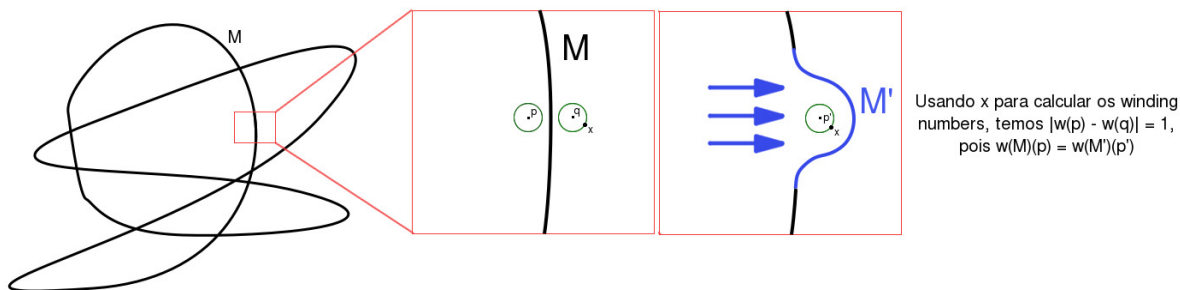
## §22. Application: winding number (video 25)

$f : M^n \rightarrow \mathbb{R}^{n+1}$  an immersion of a compact connected orientable manifold,  $p \in \mathbb{R}^{n+1} \setminus M^n$ ,  $r > 0$  such that  $\overline{B_r(p)} \cap M^n = \emptyset \Rightarrow \pi \circ f : M^n \rightarrow \partial B_r(p) \cong \mathbb{S}^n \Rightarrow w(p) := \text{deg}(\pi \circ f) \in \mathbb{Z}$  is the *winding number of  $M^n$  around  $p$*  (independent on  $r$ )  $\Rightarrow w$  is constant on each connected component of  $\mathbb{R}^{n+1} \setminus M^n$ .

See for curves, in particular, the effect of the orientation.

$M^n$  is not orientable? Theorem 25  $\Rightarrow$  winding number mod 2: exercises 23 to 26 Spivak chap.8:  $f : M^n \times I \rightarrow N^n$  homotopy,  $y \in N^n$  regular value de  $f$ ,  $f_0, f_1 \Rightarrow \#f_0^{-1}(y) = \#f_1^{-1}(y) \text{ mod } 2$ . Picture  $\Rightarrow w$  is never constant and jumps at  $M^n \Rightarrow$

**Corolary 29.**  $M^n$  orientable or not,  $b_0(\mathbb{R}^{n+1} \setminus M^n) \geq 2$ .





### §23. The birth of exact sequences

Let  $U, V \subset M$  open such that  $M = U \cup V$ ,  $k \in \mathbb{Z} \Rightarrow i_U : U \hookrightarrow M$ ,  $j_U : U \cap V \hookrightarrow U \Rightarrow i_U^* : \Omega^k(M) \rightarrow \Omega^k(U)$ ,  $j_U^* : \Omega^k(U) \rightarrow \Omega^k(U \cap V)$ . Idem for  $i_V, j_V$ . We then have:

$$i = i_U^* \oplus i_V^* : \Omega^k(M) \rightarrow \Omega^k(U) \oplus \Omega^k(V),$$

$$j = j_V^* \circ \pi_2 - j_U^* \circ \pi_1 : \Omega^k(U) \oplus \Omega^k(V) \rightarrow \Omega^k(U \cap V),$$

i.e.,  $i(\omega) = (\omega|_U, \omega|_V)$ ,  $j(\sigma, \omega) = j_V^* \omega - j_U^* \sigma = \omega|_{U \cap V} - \sigma|_{U \cap V}$ .

Joining, we get

$$0 \rightarrow \Omega^k(M) \xrightarrow{i} \Omega^k(U) \oplus \Omega^k(V) \xrightarrow{j} \Omega^k(U \cap V) \rightarrow 0, \quad (3)$$

with each image contained in the kernel of the next. Now, the fundamental point is that, in fact, they equal! (the only not obvious is that  $j$  is surjective, but, if  $\{\rho_U, \rho_V\}$  is a partition of unity subordinated to  $\{U, V\}$  and  $\omega \in \Omega^k(U \cap V)$ , then  $\omega_U := \rho_V \omega \in \Omega^k(U)$ ,  $\omega_V := \rho_U \omega \in \Omega^k(V)$ , and  $j(-\omega_U, \omega_V) = \omega$ ).

### §24. Complexes (Spivak, vol.1, chap.11)

Exact sequences of abelian groups: short, long.

*Exercise.* The dual of an exact sequence is an exact sequence.

$$A \xrightarrow{f} B \rightarrow 0 \Leftrightarrow f \text{ epimorphism}$$

$$0 \rightarrow A \xrightarrow{f} B \Leftrightarrow f \text{ monomorphism}$$

$$0 \rightarrow A \xrightarrow{f} B \rightarrow 0 \Leftrightarrow f \text{ isomorphism}$$

$$A \xrightarrow{f} B \rightarrow C \rightarrow 0 \Rightarrow C \cong B/\text{Im } f$$

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \Rightarrow C \cong B/A$$

**Proposition 30.** (General linear algebra dimension Theorem)

If  $0 \xrightarrow{\alpha} \mathbb{V}_1 \xrightarrow{\beta} \mathbb{V}_2 \rightarrow \cdots \rightarrow \mathbb{V}_k \rightarrow 0$  is exact  $\Rightarrow \sum_i (-1)^i \dim \mathbb{V}_i = 0$ .

*Proof:* Induction on  $k$ , changing to  $0 \rightarrow \mathbb{V}_2 / \text{Im } \alpha \xrightarrow{\beta[\cdot]}$   $\mathbb{V}_3 \rightarrow \cdots$  ■

*Cochain complex:*  $\mathcal{C} = \{C^k\}_{k \in \mathbb{Z}} + \text{'differentials' } \{d_k\}_{k \in \mathbb{Z}}$ :

$$\cdots C^{-1} \xrightarrow{d_{-1}} C^0 \xrightarrow{d_0} C^1 \xrightarrow{d_1} C^2 \cdots, \quad d_k \circ d_{k-1} = 0.$$

Direct sum of cochain complexes.

$a \in C^k$  is a  $k$ -cochain of  $\mathcal{C}$ .

$a \in Z^k(\mathcal{C}) := \text{Ker } d_k \subset C^k$  is a  $k$ -cocycle of  $\mathcal{C}$ .

$a \in B^k(\mathcal{C}) := \text{Im } d_{k-1} \subset C^k$  is a  $k$ -coboundary of  $\mathcal{C}$ .

The  $k$ -th cohomology of  $\mathcal{C}$  is given by

$$H^k(\mathcal{C}) := Z^k(\mathcal{C}) / B^k(\mathcal{C}).$$

If  $a \in Z^k(\mathcal{C}) \Rightarrow [a] \in H^k(\mathcal{C})$  is the *cohomology class* of  $a$ .

Um *cochain map*  $\varphi : \mathcal{A} \rightarrow \mathcal{B}$  is a sequence  $\{\varphi_k : A^k \rightarrow B^k\}_{k \in \mathbb{Z}}$  such that  $d \circ \varphi_k = \varphi_{k+1} \circ d$ . This gives maps  $\varphi^* : H^\bullet(\mathcal{A}) \rightarrow H^\bullet(\mathcal{B})$ . The sequence  $0 \rightarrow \mathcal{A} \xrightarrow{i} \mathcal{B} \xrightarrow{j} \mathcal{C} \rightarrow 0$  is said to be *short exact* if at each level  $k$  is exact. In this situation,

$$H^k(\mathcal{A}) \xrightarrow{i^*} H^k(\mathcal{B}) \xrightarrow{j^*} H^k(\mathcal{C})$$

is exact for all  $k$ . But it is NOT exact with 0 at the left or at the right... BUT:

**Theorem 31 (!!!!!!!).** *If  $0 \rightarrow \mathcal{A} \xrightarrow{i} \mathcal{B} \xrightarrow{j} \mathcal{C} \rightarrow 0$  is short exact, then there exist homomorphisms (explicit and natural)*

$$\delta^* : H^k(\mathcal{C}) \rightarrow H^{k+1}(\mathcal{A}),$$

*called connection homomorphisms, that induce the following long exact sequence in cohomology:*

$$\begin{array}{ccccccc}
 & \rightarrow & H^{k+1}(\mathcal{A}) & \xrightarrow{i^*} & \cdots & , & \\
 & \searrow & \downarrow & \delta^* & \downarrow & \searrow & \\
 & \rightarrow & H^k(\mathcal{A}) & \xrightarrow{i^*} & H^k(\mathcal{B}) & \xrightarrow{j^*} & H^k(\mathcal{C}) & \rightarrow \\
 & \searrow & \downarrow & \delta^* & \downarrow & \searrow & \\
 & & & & \cdots & \xrightarrow{j^*} & H^{k-1}(\mathcal{C}) & \rightarrow
 \end{array}$$

*Proof:* (“Diagram chasing”: make with students) Given  $c \in Z^k(\mathcal{C})$ , there exists  $b \in B^k$  such that  $jb = c$ . But then  $db \in \text{Ker } j$  ( $jdb = djb = dc = 0$ ), and, since  $\text{Ker } j = \text{Im } i$ , there is  $a \in A^{k+1}$  such that  $db = ia$  (given  $b$ ,  $a$  is unique since  $i$  is injective). Now,  $ida = dia = d^2b = 0 \Rightarrow da = 0$ . Define then  $\delta^*[c] := [a]$  (independent of the choice of  $b$  and  $c$ ).

Let’s check, e.g., that the long sequence is exact on  $H^k(\mathcal{C})$ .

- $\text{Im } j^* \subset \text{Ker } \delta^*$ : for  $[b] \in H^k(\mathcal{B})$ , we have  $\delta^*j^*[b] = \delta^*[jb]$ . By definition of  $\delta^*$ , we can choose as  $b$  itself the element that goes to  $c = jb$ . But  $b$  is a cocycle:  $db = 0$ . Therefore, in the definition of  $\delta^*$ ,  $ia = db = 0 \Rightarrow a = 0 \Rightarrow \delta^*[jb] = [0] = 0$ . (Idem  $i^*\delta^* = 0$ ).
- $\text{Ker } \delta^* \subset \text{Im } j^*$ : if  $\delta^*[c] = 0$ , the  $a$  in the definition of  $\delta^*$  is a

coboundary and the  $b$  is a cocycle:  $a = da'$ . Thus  $db = ida' = dia'$ , i.e.,  $d(b - ia') = 0$ . So  $j^*[b - ia'] = [jb - jia'] = [jb] = [c]$ . ■

## §25. The Mayer–Vietoris sequence

As we saw, (3) is exact for all  $k$ , hence we conclude:

**Theorem 32 (!!!!).** *The following long sequence of cohomology, called the sequence of Mayer–Vietoris, is exact:*

$$\begin{aligned} 0 \rightarrow H^0(M) \xrightarrow{i^*} H^0(U) \oplus H^0(V) \xrightarrow{j^*} H^0(U \cap V) \xrightarrow{\delta^*} \dots \\ \dots \\ \dots \xrightarrow{\delta^*} H^k(M) \xrightarrow{i^*} H^k(U) \oplus H^k(V) \xrightarrow{j^*} H^k(U \cap V) \xrightarrow{\delta^*} \\ \xrightarrow{\delta^*} H^{k+1}(M) \xrightarrow{i^*} H^{k+1}(U) \oplus H^{k+1}(V) \xrightarrow{j^*} H^{k+1}(U \cap V) \xrightarrow{\delta^*} \dots \end{aligned}$$

E, for the same price we got the recipe to construct  $\delta^*$ :

- If  $\omega \in \Omega^k(U \cap V)$ , with part. of unity we get forms  $\omega_U$  and  $\omega_V$  on  $U$  and  $V$  such that  $j(-\omega_U, \omega_V) = \omega_V|_{U \cap V} + \omega_U|_{U \cap V} = \omega$ ;
- Now, if  $\omega$  is closed,  $-d\omega_U$  and  $d\omega_V$  agree on  $U \cap V$  (!!!), since  $j(-d\omega_U, d\omega_V) = dj(-\omega_U, \omega_V) = d\omega = 0$ ;
- Therefore,  $-d\omega_U$  and  $d\omega_V$  define a form  $\sigma \in \Omega^{k+1}(M)$ , that is clearly closed (yet not necessarily exact!). We conclude that  $\delta^*[\omega] = [\sigma] \in H^{k+1}(M)$ .

**REM:** If  $U, V$  and  $U \cap V$  are connected we begin at  $k = 1$ , i.e.,

$$\begin{aligned} 0 \rightarrow H^0(M) \xrightarrow{i^*} H^0(U) \oplus H^0(V) \xrightarrow{j^*} H^0(U \cap V) \rightarrow 0, \\ 0 \rightarrow H^1(M) \xrightarrow{i^*} H^1(U) \oplus H^1(V) \xrightarrow{j^*} \dots \end{aligned}$$

are exact (since  $M$  is connected, and  $H^0(U \cap V) \xrightarrow{\delta^*} H^1(M)$  is the zero function, since  $j^* : H^0(U) \oplus H^0(V) \rightarrow H^0(U \cap V)$  is surjective).

*Examples:*  $M = \bigcup_i M_i$  disjoint  $\Rightarrow H^k(M) = \bigoplus_i H^k(M_i)$ .  $H^\bullet(\mathbb{S}^n)$ .  $H^\bullet(T^2)$ .

## §26. The Euler characteristic

In this section we assume that all cohomologies of  $M$  have finite dimension (we will see that this is always the case for  $M$  compact).

**Definition 33.** The *Euler characteristic* of  $M$  is the homotopic invariant

$$\chi(M) := \sum_i (-1)^i b_i(M) \in \mathbb{Z},$$

where  $b_k(M) := \dim H^k(M)$  is the  $k$ -th Betti number of  $M$ .

Mayer–Vietoris + Proposition 30  $\Rightarrow$

$$\chi(M) = \chi(U) + \chi(V) - \chi(U \cap V). \quad (4)$$

Simplex  $\Rightarrow$  triangulations: always exist (by countable basis).

**Theorem 34.** For any triangulation of  $M^n$  it holds that

$$\chi(M^n) = \sum_{i=0}^n (-1)^i \alpha_i,$$

where  $\alpha_k = \alpha_k(\mathcal{T})$  is the number of  $k$ -simplexes in  $\mathcal{T}$ .

*Proof:* For each  $n$ -simplex  $\sigma_i$  of  $\mathcal{T}$ , choose  $p_i \in \sigma_i^o$  and  $p_i \in B_{p_i} \subset \sigma_i^o$  (think about  $p_i$  as a small ball too). Let  $U_1$  be the disjoint union of these  $\alpha_n$  balls, and  $V_{n-1} = M \setminus \{p_1, \dots, p_{\alpha_n}\}$ . Then, (4)  $\Rightarrow \chi(M^n) = \chi(V_{n-1}) + (-1)^n \alpha_n$ .

For each  $(n-1)$ -face  $\tau_j$  of  $\mathcal{T}$ , pick the “long” ball  $B_{\tau_j}$  joining the two  $B_{p_i}$ ’s of each  $n$ -simplex touching  $\tau_j$ . Call  $U_2$  the union of these disjoint  $\alpha_{n-1}$  balls. Pick an arc (inside  $B_{\tau_j}$ ) joining the boundaries of the two  $B_{p_i}$ ’s, and let  $V_{n-2}$  be the complement of these  $\alpha_{n-1}$  arcs. Again, (4)  $\Rightarrow \chi(V_{n-1}) = \chi(V_{n-2}) + (-1)^{n-1} \alpha_{n-1}$ . Inductively we obtain  $V_{n-3}, \dots, V_0$ , the last one being the union of  $\alpha_0$  contractible sets (each one a neighborhood of a vertex of  $\mathcal{T}$ ), so that  $\chi(V_0) = \alpha_0$  and  $\chi(V_k) = \chi(V_{k-1}) + (-1)^k \alpha_k$ . ■

**Corolary 35.** (*Descartes-Euler*) *If a convex polyhedron has  $V$  vertices,  $F$  faces, and  $E$  edges, then  $V - E + F = 2$ .*

**Corolary 36.** *There are only 5 Platonic solids.*

*Proof:* If  $r \geq 3$  is the number of edges (= vertices) on each face, and  $s \geq 3$  is the number of edges (= faces) that arrive at each vertex, we have that  $rF = 2E = sV$ . But  $V - E + F = 2 \Rightarrow 1/s + 1/r = 1/E + 1/2 > 1/2$ , or  $(r-2)(s-2) < 4$ . Since  $F = 4s/(2s+2r-sr)$  we get  $(r, s) = (3,3) = \text{tetrahedron} = \text{Fire}$ ,  $(4,3) = \text{cube} = \text{Earth}$ ,  $(3,4) = \text{octahedron} = \text{Air}$ ,  $(3,5) = \text{icosahedron} = \text{Water}$ , and  $(5,3) = \text{dodecahedron} \dots$  which, according to Plato, was “...used by God to distribute the (12!) Constellations in the Universe” (I was unable to prove this last assertion). ■



Platonic model of the solar system by Kepler; Circogonia icosahedra; Stones from 2000 AC

**STRONG advice:** Watch this video about Kepler’s life, from the spectacular **Cosmos** TV series (the one from the 80s!).

**REM:** On dimension  $n = 4$  there are 6 regular solids (there is one with 24 faces), and for  $n \geq 5$  there are only 3: the simplex (tetrahedron), the hypercube (of course), and the hyperoctahedron, that is the convex hull of  $\{\pm e_i\}$ .

## §27. Mayer–Vietoris compact support

We cannot simply switch  $H^k$  by  $H_c^k$  in Mayer–Vietoris, since  $\omega \in \Omega_c^k(M) \not\cong i_U^*(\omega) \in \Omega_c^k(U)$ . However, if  $\omega \in \Omega_c^k(U)$ , the *extension as 0* of  $\omega$ ,  $\hat{i}_U(\omega)$ , satisfies  $\hat{i}_U(\omega) \in \Omega_c^k(M)$ . And this works! ( $j := \hat{j}_U \oplus \hat{j}_V$ ,  $i := \hat{i}_U - \hat{i}_V$ ):

**Lemma 37.** *The following sequence is exact  $\forall k$  (exercise):*

$$0 \rightarrow \Omega_c^k(U \cap V) \xrightarrow{j} \Omega_c^k(U) \oplus \Omega_c^k(V) \xrightarrow{i} \Omega_c^k(U \cup V) \rightarrow 0.$$

Then, Theorem 31 + Lemma 37  $\Rightarrow$

**Theorem 38.** *The following long sequence is exact:*

$$\begin{aligned} \dots &\xrightarrow{\delta^*} H_c^k(U \cap V) \xrightarrow{j^*} H_c^k(U) \oplus H_c^k(V) \xrightarrow{i^*} H_c^k(M) \xrightarrow{\delta^*} \\ &\xrightarrow{\delta^*} H_c^{k+1}(U \cap V) \xrightarrow{j^*} H_c^{k+1}(U) \oplus H_c^{k+1}(V) \xrightarrow{i^*} H_c^{k+1}(M) \xrightarrow{\delta^*} \dots \end{aligned}$$

**REM:** Compare both Mayer–Vietoris.

**REM:** BEWARE not to mix them!!!

**REM:** Theorem 31 is a factory of theorems!

## §28. Mayer–Vietoris for pairs

Let  $i: N \hookrightarrow M$  be a compact embedded submanifold, and  $k \in \mathbb{Z}$ . Then,  $W = M \setminus N$  is a manifold and thus

$$\Omega_c^k(M \setminus N) \xrightarrow{\hat{j}_W} \Omega_c^k(M) \xrightarrow{i^*} \Omega^k(N).$$

But this is not exact on  $\Omega_c^k(M)$ : the kernel of  $i^*$  are the forms that vanish on  $N$ , while the image of  $\hat{j}_W$  are the ones that vanish on a neighborhood of  $N$ . But we fix this with a standard trick:

Let  $V$  be a tubular neighborhood with compact closure of  $N$ ,  $j: N \hookrightarrow V$  the inclusion, and  $\pi: V \rightarrow N$  a deformation retract, i.e.,  $\pi \circ j = id_N$ ,  $j \circ \pi \sim id_V$ . We construct now a sequence of such  $V$ ,  $V = V_1 \supset V_2 \supset \dots$ , such that  $\bigcap_i V_i = N$ . Then, we say that  $\omega$  and  $\omega'$  on  $\Omega^k(U)$  for some open  $U \subset M$  containing  $N$  are *equivalent* if there is  $r > i, j$  such that  $\omega|_{V_r} = \omega'|_{V_r}$ . The set of these classes is a vector space  $\mathcal{G}^k(N)$ , that of “germs of  $k$ -forms defined in a neighborhood of  $N$ ”, which has an obvious differential induced by  $d$ , and is therefore a cochain complex  $\mathcal{G} = (\mathcal{G}^\bullet(N), d)$ . This gives a cochain map  $\Omega_c^k(M) \xrightarrow{\hat{i}^*} \mathcal{G}^k(N)$ , where  $\hat{i}^*(\omega) = \text{class of } \omega|_{V_1}$ .

**Lemma 39.** *The following sequence is exact (exercise):*

$$0 \rightarrow \Omega_c^k(M \setminus N) \xrightarrow{\hat{j}_W} \Omega_c^k(M) \xrightarrow{\hat{i}^*} \mathcal{G}^k(N) \rightarrow 0.$$



Now, since  $j^* : H^k(V_i) \rightarrow H^k(N)$  is an isomorphism for all  $i$  and for all  $k$ ,  $H^k(N)$  is isomorphic to  $H^k(\mathcal{G})$  (exercise). Then, Theorem 31 + Lemma 39  $\Rightarrow$

**Theorem 40.** *There is a long exact sequence:*

$$\cdots \rightarrow H_c^k(M \setminus N) \rightarrow H_c^k(M) \rightarrow H^k(N) \xrightarrow{\delta^*} H_c^{k+1}(M \setminus N) \rightarrow \cdots$$

In a completely analogous way to Theorem 40 we conclude:

**Theorem 41.** *Let  $M$  be a compact manifold with boundary. Then there exists a long exact sequence:*

$$\cdots \rightarrow H_c^k(M \setminus \partial M) \rightarrow H_c^k(M) \rightarrow H^k(\partial M) \xrightarrow{\delta^*} H_c^{k+1}(M \setminus \partial M) \rightarrow \cdots$$

**Corolary 42.**  $H_c^k(\mathbb{R}^n) \cong H^{n-k}(\mathbb{R}^n) \cong (H^{n-k}(\mathbb{R}^n))^*$ ,  $\forall k$ .

*Proof:* By Corolary 16, if  $B \subset \mathbb{R}^n$  is an open ball,  $H_c^k(\mathbb{R}^n) = H_c^k(B) \cong H_c^k(\overline{B}) = H^k(\overline{B}) = H^k(B) = 0$ ,  $\forall k \neq n$ . ■

*Exercise:* Compute  $H^\bullet(\mathbb{S}^n \times \mathbb{S}^m)$ . Suggestion:  $\mathbb{S}^n \times \mathbb{S}^m = \partial(\overline{B} \times \mathbb{S}^m)$ .

## §29. Application: Jordan's theorem

**Theorem 43** (*Jordan generalized*). *Let  $M^n \subset \mathbb{R}^{n+1}$  be a connected embedded compact hypersurface. Then,  $M^n$  is orientable,  $\mathbb{R}^{n+1} \setminus M^n$  has exactly 2 connected components, one bounded and one not, and  $M^n$  is the boundary of each one.*

*Proof:* By Theorem 40 and Corolary 42 we have that

$$0 \cong H_c^n(\mathbb{R}^{n+1}) \rightarrow H^n(M^n) \rightarrow H_c^{n+1}(\mathbb{R}^{n+1} \setminus M) \rightarrow H_c^{n+1}(\mathbb{R}^{n+1}) \cong \mathbb{R} \rightarrow 0.$$

That is,  $\dim H^n(M^n) + 1 = b_0(\mathbb{R}^{n+1} \setminus M^n) \geq 2$  (Corolary 29). Hence, by Theorem 22 and Theorem 23,  $H^n(M^n) \cong \mathbb{R}$ ,  $M^n$  is orientable, and  $\#\{\text{connected components of } \mathbb{R}^{n+1} \setminus M^n\} = 2$ . By the same argument for winding numbers, each point of  $M^n$  is arbitrarily close to points in both connected components. ■

**Corolary 44.** *Neither the Klein bottle nor the projective plane can be embedded in  $\mathbb{R}^3$ .*

### §30. Poincaré duality

Let  $U \subset \mathbb{R}^n$  open bounded and star shaped with respect to 0, i.e.,

$$U = U_\rho = \{tx : 0 \leq t < \rho(x), x \in \mathbb{S}^{n-1}\}$$

for some bounded function  $\rho : \mathbb{S}^{n-1} \rightarrow \mathbb{R}_{>0}$ .

**Lemma 45.** *If  $\rho \in C^\infty$ ,  $U$  is diffeomorphic to  $\mathbb{R}^n$ .*

*Proof:* Clearly we can assume  $\rho \geq 1$ , so just choose the diffeomorphism  $h: B_1 \rightarrow U$  as  $h(tx) = (t + (\rho(x) - 1)f(t))x$ , for any smooth function  $f$  with  $f = 0$  on  $[0, \epsilon)$ ,  $f' \geq 0$ ,  $f(1) = 1$ . ■

But  $\rho$  does not even need to be continuous... yet, it is semicontinuous:

**Lemma 46.** *Given  $x \in \mathbb{S}^{n-1}$  and  $\epsilon > 0$ , there exist a neighborhood  $V_x = V(x, \epsilon)$  of  $x$  such that  $\rho|_{V_x} > \rho(x) - \epsilon$ .*

*Proof:*  $U$  is open. ■

**Lemma 47.**  *$H^\bullet(U) \cong H^\bullet(\mathbb{R}^n)$  and  $H_c^\bullet(U) \cong H_c^\bullet(\mathbb{R}^n)$ . (In fact,  $U$  is diffeomorphic to  $\mathbb{R}^n$  even if  $\rho$  is not  $C^\infty$ , but this is a difficult result).*

*Proof:* The first is obvious since  $U$  is contractible. By Corolary 42 we thus only need to verify that  $H_c^k(U) = 0$  for  $k < n$ . But if  $[\omega] \in H_c^k(U)$ , suppose that there is  $\bar{\rho} \in C^\infty(\mathbb{R})$  such that  $K = \text{supp}(\omega) \subset U_{\bar{\rho}} \subset U$  (i.e.,  $\bar{\rho} < \rho$ ). Then  $U_{\bar{\rho}} \cong \mathbb{R}^n$  and  $[\omega] \in H_c^k(U_{\bar{\rho}}) = 0$ . So there is  $\eta \in \Omega_c^{k-1}(U_{\bar{\rho}}) \subset \Omega_c^{k-1}(U)$  with  $\omega = d\eta$ .

To show that there exists such a  $\bar{\rho}$ , let  $2\epsilon = d(K, \mathbb{R}^n \setminus U) > 0$  and, for  $x \in \mathbb{S}^{n-1}$ ,  $t(x) := \max\{t : tx \in K\} \leq \rho(x) - 2\epsilon$ . At a neighborhood  $V_x$  of  $x$  we have that  $t|_{V_x} < \rho(x) - \epsilon < \rho|_{V_x}$  by Lemma 46 and the definition of  $\epsilon$ . Pick a finite subcover  $\{V_{x_i}\}$  of  $\mathbb{S}^{n-1}$  and a partition of unity  $\{\varphi_i\}$  subordinated to it, and define  $\bar{\rho} = \sum_i (\rho(x_i) - \epsilon)\varphi_i$ . Then,  $t < \bar{\rho} < \rho$ , and  $K \subset U_{\bar{\rho}} \subset U$ . ■

**Definition 48.** We say that  $M^n$  is of *finite type* if there is a finite covering  $\mathcal{U}$  of  $M^n$  such that every nonempty intersection  $V$  of elements of  $\mathcal{U}$  satisfies that  $H^\bullet(V) = H^\bullet(\mathbb{R}^n)$  and  $H_c^\bullet(V) = H_c^\bullet(\mathbb{R}^n)$ . Such a covering  $\mathcal{U}$  is called *good*.

**Lemma 49.** *Every compact manifold has a good covering.*

*Proof:* Totally convex neighborhoods (Riemannian geometry). ■

**Proposition 50.** *If  $M$  is of finite type (e.g.  $M$  compact), then  $H^\bullet(M)$  and  $H_c^\bullet(M)$  have finite dimension.*

*Proof:* Induction on  $\#\mathcal{U}$  using Mayer–Vietoris. ■

Now, observing that  $H^k(M) \wedge H_c^r(M) \subset H_c^{k+r}(M)$  we obtain:

**Theorem 51 (Poincaré duality).** *If  $M^n$  is connected and orientable, the linear function  $PD: H^k(M) \rightarrow (H_c^{n-k}(M))^*$ ,*

$$PD([\omega])([\sigma]) := \int_M \omega \wedge \sigma$$

*is an isomorphism, for all  $k$ .*

*Proof:* The proof for manifolds of finite type follows by induction in the number of elements of a good covering by the next lemma. ■

**Lemma 52.** *If  $U$  and  $V$  are open such that  $PD$  is an isomorphism for all  $k$  in  $U$ ,  $V$  and  $U \cap V$ , then  $PD$  is an isomorphism for all  $k$  in  $U \cup V$ .*

*Proof:* Let  $M = U \cup V$  and  $l = n - k$ . Mayer–Vietoris gives

$$\begin{array}{ccccccccc} H^{k-1}(U) \oplus H^{k-1}(V) & \rightarrow & H^{k-1}(U \cap V) & \rightarrow & H^k(M) & \rightarrow & H^k(U) \oplus H^k(V) & \rightarrow & H^k(U \cap V) \\ \downarrow PD \oplus PD & & \downarrow PD & & \downarrow PD & & \downarrow PD \oplus PD & & \downarrow PD \\ (H_c^{l+1}(U) \oplus H_c^{l+1}(V))^* & \rightarrow & H_c^{l+1}(U \cap V)^* & \rightarrow & H_c^l(M)^* & \rightarrow & (H_c^l(U) \oplus H_c^l(V))^* & \rightarrow & H_c^l(U \cap V)^* \end{array}$$

where all vertical maps are isomorphisms, except maybe the middle one. Moreover, all squares commute up to signs (exercise), and hence up to some signs in the  $PD$ 's everything commutes. The lemma follows now from *the five Lemma* (prove), which says precisely that the middle one must also be an isomorphism. ■

**Corolary 53.** *If  $M^n$  is compact, connected and orientable, then  $b_k(M^n) = b_{n-k}(M^n)$ . In particular  $\chi(M^n) = 0$  if  $n$  is odd.*

**Corolary 54.** *Theorem 24 follows from Poincaré duality.*

## 30.1 The Poincaré sphere

Henri Poincaré conjectured that a 3-manifold with the homology of a sphere must be homeomorphic to the 3-sphere  $\mathbb{S}^3$ . Poincaré himself found a counterexample, essentially creating the concept of fundamental group. Indeed, by Hurewicz theorem, it would be enough to take  $\mathbb{S}^3/G$ , with  $G \subset SO(4)$  a nontrivial perfect group (i.e.,  $G = [G, G]$ ) acting freely. The simplest such example that we can think of is  $G = A_5 \subset SO(3)$  as the order 60 icosahedral group since  $A_5$  is simple. This almost works, except that  $G$  has to be extended to the binary icosahedral group  $G = 2A_5$  of order 120, which is still perfect, though not simple (or work with  $A_5$  but on  $SO(3) \cong \mathbb{S}^3/\{\pm I\}$  instead). Then,  $H_1(\mathbb{S}^3/G, \mathbb{Z}) = G/[G, G] = 0$ , and  $H_2(\mathbb{S}^3/G) = H_1(\mathbb{S}^3/G) = 0$  by e.g. Poincaré duality. Thus,  $H_*(\mathbb{S}^3/G) = H_*(\mathbb{S}^3)$ , yet  $\mathbb{S}^3/G$  is not simply connected. It is remarkable that *this is the only example with finite fundamental group* (there are plenty with infinite fundamental group). After Poincaré found this counterexample to his own conjecture, he made another one: *the 3-sphere is the only simply connected homology 3-sphere*. This is of course the very famous *Poincaré conjecture*, proved (among other things!) by G.Perelman in 2002. Notice that, by Perelman's result, any homology 3-sphere with finite fundamental group **must** be  $\mathbb{S}^3/G$ , with  $G \subset SO(4)$  perfect, reducing the original problem to a group one: find the finite perfect subgroups of  $SO(4)$  that act freely. It turns out that  $2A_5$  is the only one!

## §31. Singular homology and de Rham Theorem

As seen in Section 18, we have the boundary operator between chains (of simplex) with any abelian group  $G$  as coefficients,  $\partial_k : C_k(M) \rightarrow C_{k-1}(M)$ , that satisfies  $\partial^2 = 0$ . That is, chains form a complex (for any topological space). The homology of this complex is called the *singular homology* of  $M$ :

$$H_k(M) = H_k(M; G) := \text{Ker } \partial_k / \text{Im } \partial_{k+1}.$$

Now, if  $M = U \cup V$ , the composition of chains with the inclusions gives the next (obviously exact) Mayer–Vietoris sequence:

$$0 \rightarrow C_k(U \cap V) \rightarrow C_k(U) \oplus C_k(V) \rightarrow C_k(U + V) \rightarrow 0,$$

where  $C_k(U + V)$  are the  $k$ -chains of  $M$  that decompose as sum of  $k$ -chains on  $U$  and  $V$ . By Theorem 31 we get then the corresponding long exact sequence on homology. But, with an idea conceptually similar to the one used to construct  $\mathcal{G}$  (“barycentric decomposition”) we prove (with a bit of work) that

$$H_\bullet(U \cup V) \cong H_\bullet(U + V).$$

Therefore we have the long exact sequence of singular homology:

$$\cdots H_{k+1}(M) \rightarrow H_k(U \cap V) \rightarrow H_k(U) \oplus H_k(V) \rightarrow H_k(M) \rightarrow H_{k-1}(U \cap V) \rightarrow \cdots \quad (5)$$

Compare with Theorem 38 and use Theorem 7!

For the singular (differentiable) homology  $H_\bullet(M; \mathbb{R})$ , by Stokes and in an analogous way to Poincaré duality (Lemma 52 in the proof of Theorem 51), we prove the following (see Section 29 and Section 18):

**Theorem 55 (deRham).** *For every manifold  $M$ , the linear function  $DR: H^k(M) \rightarrow (H_k(M; \mathbb{R}))^*$ ,*

$$DR([\omega])([c]) = \int_c \omega$$

*is an isomorphism, for all  $k$ .*

*Proof:* See [here](#) for a general argument, even for manifolds that are not of finite type. ■

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