

You could have invented topology

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Suppose you have a real function $f : \mathbb{R} \rightarrow \mathbb{R}$, and you want to determine if f is *continuous* at $x_0 \in \mathbb{R}$, that is, if:

$$\text{as } x \text{ approaches to } x_0, \text{ the value } f(x) \text{ approaches to } f(x_0). \quad (1)$$

We say that f is *continuous* if it is continuous at every point $x_0 \in \mathbb{R}$.

We have two problems in this *intuitive* definition, and both relate to formalization. The most obvious one is to formalize the idea that “ a approaches to b ”. Here there is implicitly some kind of *distance* involved: we mean that the distance between a and b gets small while a moves and b stays still. Yet, what does “gets small” mean? The second and less obvious problem is what we are trying to determine when we say “as”.

Let’s call $d(a, b)$ the distance between a and b , even without explicitly saying what it is (and even without knowing what kind of objects a and b are!). So what (1) says is that

$$d(f(x), f(x_0)) \text{ gets small as } d(x, x_0) \text{ gets small.} \quad (2)$$

Of course, whatever $d(a, b)$ is, it should be a nonnegative real number, after all, it represents a distance. One practical way of formalizing that “ $d(x, x_0)$ gets small” is to say that $d(x, x_0)$ is smaller than a positive number ϵ , that is, $0 \leq d(x, x_0) < \epsilon$, and then take ϵ arbitrarily small. Thus, in (2) we are saying that

$$d(f(x), f(x_0)) < \epsilon \text{ whenever } d(x, x_0) \text{ is small enough.} \quad (3)$$

This “small enough” amount depends on all the objects involved: on ϵ itself, the function f and the point x_0 . In other words, given $\epsilon > 0$, there should be another (likely small) positive number $\delta = \delta(\epsilon, x_0, f)$ such that

$$d(f(x), f(x_0)) < \epsilon \text{ if } d(x, x_0) < \delta. \quad (4)$$

Since this property should be verified for all $\epsilon > 0$ arbitrary small, we incorporate this into (4) by saying that

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } d(f(x), f(x_0)) < \epsilon \text{ if } d(x, x_0) < \delta. \quad (5)$$

Congratulations, you have (re)invented the formal notions of *limit* and *continuous function*. Notice that the fact that δ depends on ϵ, x_0 and f , although implicitly, is already logically included in the statement (5).

Now, the natural distance between two real numbers a and b is given by the absolute value of their difference, i.e., $d(a, b) = |a - b|$. We can now substitute this into (5) to conclude that:

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } |f(x) - f(x_0)| < \epsilon \text{ if } |x - x_0| < \delta. \quad (6)$$

However, the important point here is that this explicit expression of the distance is irrelevant, as long as it truly represents a *distance*. Indeed, we did everything without it up to now, and by (5) each time we have a notion of distance, we can talk about continuous functions. More importantly, distances can be defined in arbitrary sets, not only in \mathbb{R} . However, you would certainly agree that any distance function d must satisfy at least the following three very natural geometric properties to have the right to be called a distance:

$$d(a, b) = 0 \Leftrightarrow a = b, \quad d(a, b) = d(b, a), \quad \text{and } d(a, b) + d(b, c) \geq d(a, c), \quad \forall a, b, c. \quad (7)$$

Notice that, by taking $c = a$ in the third property, called *triangle inequality*, we get $d \geq 0$, a fact that we already used above. A set X endowed with function $d : X \times X \rightarrow \mathbb{R}$ satisfying (7) is called a *metric space*, and is denoted by (X, d) , or simply by X . The reader can now easily extend the notion of continuity for maps of any metric space, $f : (X, d) \rightarrow (X, d)$, or even between two different metric spaces, $f : (X, d) \rightarrow (X', d')$.

Let's go a little further by writing (5) in a more set theoretical language. Given a point z in a metric space (X, d) and $\epsilon > 0$, we define the *metric ball of radius ϵ centered at z* as

$$B_\epsilon(z) := \{x \in X : d(x, z) < \epsilon\}. \quad (8)$$

Then, simply by rewriting (5) using (8) for $f : (X, d) \rightarrow (X, d)$ we obtain

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } f(B_\delta(x_0)) \subset B_\epsilon(f(x_0)), \quad (9)$$

or, equivalently,

$$\text{for all } \epsilon > 0 \text{ there is } \delta > 0 \text{ such that } B_\delta(x_0) \subset f^{-1}(B_\epsilon(f(x_0))). \quad (10)$$

Now, observe that any metric ball B has the following key property: for any $x \in B$, there is $\tilde{\epsilon} > 0$ (depending on x) such that $B_{\tilde{\epsilon}}(x) \subset B$. Indeed, if $B = B_\epsilon(z)$ and we take $\tilde{\epsilon} := \epsilon - d(z, x)$, then $\tilde{\epsilon} > 0$ and by the triangle inequality in (7) we have $B_{\tilde{\epsilon}}(x) \subset B_\epsilon(z)$. Any subset B of X with this property is called *open*. We can use this concept to rephrase (10) by saying that, for every open subset B containing $f(x_0)$, there should exist an open subset U containing x_0 such that $U \subset f^{-1}(B)$. Equivalently,

$$\text{for every open subset } B \text{ containing } f(x_0), \quad f^{-1}(B) \text{ is an open subset containing } x_0. \quad (11)$$

Since for a continuous function this should hold for every x_0 in X , we can simply eliminate x_0 and say that

$$f^{-1}(B) \text{ is open if } B \subset X \text{ is open.} \quad (12)$$

Here, we have to be a bit careful since f does not need surjective and hence $f^{-1}(B)$ could be empty. But obviously from the definition the empty set \emptyset is open, so no problem here.

It is clear now from (11) that all we need in order to talk about continuous functions is the collection τ of all open subsets of X . In the case of a metric space, with the three properties of the distance function in (7) you can check that:

- i*) Both $X \in \tau$ and $\emptyset \in \tau$;
- ii*) Union of elements in τ belongs to τ ;
- iii*) Intersections of finitely many elements in τ belongs to τ .

A collection τ of subsets of an arbitrary set Z that satisfies these three properties is called a *topology* for Z , and the pair (Z, τ) is called a *topological space*. By the previous observation, every metric space has a natural topology induced by its distance, for which (12) becomes

$$\text{for all } B \in \tau, f^{-1}(B) \in \tau. \tag{13}$$

Without effort you can check for yourself that, for the naturally induced topology on a metric space, the two notions (5) and (13) are equivalent. Yet, for (13) no distance function is required, only the collection τ satisfying *(i) + (ii) + (iii)*. This is a much more general setting, and topological spaces are the most basic structure where we can talk about continuous functions.

Compare (1) and (13), and think deeply about the jump. ;o)

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